	Comments	Probability changed/Pipeline adjusted	Probability changed/Pipeline adjusted	Probability changed/Pipeline adjusted	Probability changed/Pipeline adjusted	The state of the s	Probability changed	Probability changed		
 ipeine	Mar 2nd Otr	2,000 0	0	0 60	(3.000)	(6,00	0	٥	(10,000) 0	
ā	Feb	0	(2,000) 0	0	0	0	3,724 0	(3,692) 0	0	
Actual	Feb	o	0		0	. 0	٥	0	0	
	ity Region	Europe	Europe	Europe	Europe	0.00 Europe	Europe	Europe	Europe	
	Probabil	\$0.00	25.00	90 00	25.00	0.00	100,00	90.00 00.00	25.00	
	Product	Divestiture Advisory	Divestiture Advisory	Owestiture Advisory	Divestiture Advisory	Divestiture Advisory	Senior Bank Debt	Senior Bank Debt	Divestiture Advisory	
	The state of the s	Soosey & Hawkes - divestiture advisory/defence & refinancing / Bronze (D015359)	Ivestiture advisory/defence & refirmnaing / Bronze (D015359)	(VISOTY (D025150)	Wisory (D025150)	Deutsche Telekom - Sale options for 16% stake in SES (D011449)	one - EXCLUSIVITY for Haughton Mifflin / Versalites (D025086)	one - EXCLUSIVITY for Houghton Mifflin / Versailles (D025086)	rench assets M&A/ECM (ex.Technologies) / Cantabil (D025296)	

•	•	•	•	•	•
Case 1:07-cv	-05471-BSJ-KN	NF Docume	ent 26-28 Fi	led 05/30/2008	Page 2 of 15

				00000		0	Bal Of Cur					Futher Year
PRODUCT	Jan Franchise Revenue	YTD(Jan) Franchise Revenue	Prior YTD Franchise Revenue	YTD YTD Franchise Revenue	Feb Franchise Revenus	Current Year Thru 12/31 Pipeline	<i>≿</i> ₀ .	2003 Futl Year Forecast	2003 Full Year Plan	EAV 2003 Full Year Plan	Future Year Pipeline(2)	Pipeline Probability Weighted(2
M&A	2,397	2,387	13,968		[	54,264	7,839	10,235		10,235		ľ
Other Advisory Total Advisory	2,397	2,397	13,968	,		54,264	7,839	10,235		10,235		
ABL Origination HYPM	70 955	29 96R	5.384		, ,	12.428	3.677	33.643		33.643		. ,
Leverage Loan Fees	13,775	13,775	,	. ,		32,512	15,517	28,292		29.282		,
Project Finance	· —		•	,		•	,			1		1
Leveraged Portfolio Revenue Real Tetata Investment Banking	, ,		, ,	. ,				, ,			. ,	
Total Debt Products	43,741	43,741	5,384			44,840	19,194	62,935		62,936		
Equity Underwriting	588	888	3,638	1		39,455	7,875	8,463		8,463		•
Private Placement-Equity	(4)	€	€	,				€ .		€ .		
Structured Equity Transactions Orough	480	584	3,637			39,456	7,875	8,459		8,459	,	
Capital Benefit / Treasury Allocation		•	•		,		•	•		ı	·	
Other Global Markets	292		133					. 222		- 22		
Legacy PF / Telecom / LA Portfolio - NIM	•	·   •	! , {	,				, (1)		É	r	,
Frincipal Investing / PE Exceptions / Other Total Legacy Portfolios / Cross Selling / Other	151	151	12					15.		151		
Total Corporate Finance Product	46.873	46.873	23.090			138.660	34 908	81.781		81.781		

Notes;

1) Deals are included within the group on the basis of senior banker involvement per client manager for booked deals and pipeline deals

2) Balance of Pipeline Includes all future years

		o eite	graphica	Franchica	
PRODUCT	DEAL	Revenue	Revenue YTD(Jan)	Revenue	Other Coverage Groups
	Acme Communications - general advisory (D006356) Albritton Communications - General Advisory (News Ch 8) (D020686) Echostar - acque advisory and financing (GM · HE) (D014601) Showcird: - valuation of Russian assets (D02606)	578 601 1,387 (169)	578 601 1,387 (169)	J ( 4 J	None NA Lev Fin NA FIN SPO, NA Lev Fin None
Total M&A	מוני לי ני אי בי מין מערימון בין ני מסטונען אימיסטונען איני מיין בין ני אי בי מין מערימון בין איני מיין בין בי	2,397	2,397	•	:
Total Advisory		2,397	2,397		1 1
	Allbritton Communications - HY Offering (1003) (D030214)	1,470	1,470	,	NA Lev Fin
	Echostar - acqn advisory and financing (GM - HE) (D014601)	16,379	16,379	,	NA FIN SPO, NA Lev Fin
	RH Donnelley - acq financing for Sprint Yellow Pages (D025434)	7,396	7,396		NA Lev Fin
	Sinciair Broadcast Group - HY offering (4Q 02) (D026013) TH Joe/Rein/Rien/etone - EXCLI ISN/ITY for Hounthop Miffle / Versailles /D025086)	99	99		NONE EUR SPON, NA FIN SPO, NA LEV FIN
Total HYCM		29,966	29,966		
	Echostar - acqn advisory and financing (GM - HE) (D014601)	8,820	8,820	,	NA FIN SPO, NA Lev Fin
	RH Donnelley - acq financing for Sprint Yellow Pages (D025434)	4,956	4,956	,	NA Lev Fin
Total Leverage Loan Fees		13,775	13,775		1
Total Debt Products		43,741	43,741	•	
			0.00		 
	Gray Television - bank/equity financing (4Q 02) (D022657) SES Ciobai - 6200-thn IDO - Project Carant? (D043535)	316 74	316 74		
	Vivendi Universal - mandatory convertible bond (D026623)	198	198	,	None
Total Equity Underwriting		288	288	•	11
	iVillage mtm (M1575)	(4)	(4)		None
Total Private Placement-Equity	Ajnk	(4)	(4)	,	l I
Total Equity		584	584	,	1 1
	Clear Channel - IG Bond (M5002)	82	85	,	None
	Corncast Corp - IG offering (1Q 03) (D026353)	136	136	,	None
Total GM DCM JV		222	222		1
	AOL Time Wamer - Strategic Lending Contract (M4545)	(16)	(16)		None
	Infinity Broadcasting - Strategic Lending Contract (M2221)	(5¢	(34)	,	1 2 C 1 4
Total Principal Investing / PE Exceptions / Other	PE Exceptions / Other	(20)	(20)		
Total Legacy Portfolios / Cross Selling / Other	ross Selling / Other	151	151	1	1 1
Total Corporate Finance Product	roduct	46,873	46,873		•

BANKER Aming, Jeffrey	DEAL Clade Broadcasting - IPO (D023066) Chack Foodcasting - IPO (D023066) ChecTV USA - bridge financing (4Q 02) (D020076) DirecTV USA - bridge financing (4Q 02) (D020076) Echostar - acqn advisory and financing (5M - HE) (D014601) Echostar - acqn advisory and financing (5M - HE) (D014601) Echostar - acqn advisory and financing (5M - HE) (D014601) Echostar - acqn advisory and financing (3M - HE) (D014601) Sinclair Bonanciast Group - HY offering (4D 02) (D026013) Sinclair Broadcasting - bank financing (3Q 02) (D0201892) Vivenck Universal Enfertanment - Refinancing (2025589)	Equity-DC Equity-DC Bendix-Corporate High Yield Senior Bank Debt Acquistion Activary Senior Sank Debt Acquistion Activary Senior Sank Debt Senior Bank Debt Bendix Debt	FRAI 0% V/6 0% 22% 50% 1 10/8 1 10/8 1 10/8 0 10/8 0 10/8 0 10/8 1	JAN FRANCHISE P VALUE . 1.387 16,379 8,820 99	YTD JAN FRANCHISE VALUE 1,387 16,379 8,820	FEB FRANCHISE VALUE	2003 FRANCHISE PIPELINE 2,793 3,268 17,501 	FRANCHISE FUTURE PIPELINE
Auslin, Geoffrey	BC Partners - acqn of BertelsmannSpringer (Chess) / Chess ** (0024783) TH eedBainBlackstone - EXCLUSIVITY for Houghton Mithin / Versalles (0026089) TH Lee/BarinBlackstone - EXCLUSIVITY for Houghton Mifflin / Versalles (0025086) TH Lee/BainBlackstone - EXCLUSIVITY for Houghton Mifflin / Versalles (0025086) Taylor & Francis - pot acqn of Blackwell STM publishing subs (0012872)	Acquistion Advisory Acquistion Advisory Bonds-Corporate High Yield Scritor Bank Debt Acquistion Advisory	0% 100% N/A 100% 25%	4.622 -	4,622		5,000 1,117 - 3,724 4,000	
Carey, Charles	Cartyle/Weish - acq fincy for Qwest Dex West(Phase II) / Phase 2 (D025682) Cartyle/Weish - acq fincy for Qwest Dex West(Phase II) / Phase 2 (D025662) Gladel Broadcasting - IPO (D022066) Ermils Communications - general advisery (Project Football) / Football (D026447) Ermils Communications - general advisery (Project Football) / Football (D026447) RH Donnelley - acq financing for Sprint Yellow Pages (D025434) Sincari Broadcasting - bank financing (30 Q2) (D021892)	Bonds-Corporate High Yield Senior Bank Debt Equity-IPO Acquisition Advisory Bonds-Corporate High Yield Senior Bank Debt Senior Bank Debt	25% 25% 0% 0% NA NA NA	7.396	7.396 4.956		6,889 5,586 2,793 11,311	
Graves, Daniel B.	Alibrition Communications - Gerveral Advisory (News Ch. 8) (D020686) Alibrition Communications - PY Offening (10202) (D020214) Albrition Communications - PY Offening (10202) (D020214) Albrition Communications - and financing for Fisher Commun (D027037) Gray Television - bankequity financing (40, 02) (D022461) Salem Communications - secondary offening (30, 02) (D024428)	Fairness Opinion Bonds-Corporate High Yield Bonds-Corporate High Yield Equity-Secondary Equity-Secondary	X X X X X X X X X X X X X X X X X X X	601 1,470 316	601 1,470 - 318	11111	2,281	
Lovegrove, Stephen	Axel Springer/Kirch - Secundary Offering - Project Armabelle / Annabel (D022480) BC Partners - acquir of Berleismann-Shringer (Chess ) I Chess * * (D024783) BStyle - GBP - 200 million convertible bond (D020296) Boosey & Hawkes - divestiture activisny/defence & refrancing / Bronze (D015359) Boussoy & Hawkes - divestiture activisny/defence & refrancing / Bronze (D015359) Boussoy & Hawkes - divestiture activisny/defence & refrancing / Bronze (D015359) Bousson Freedom on avisory (D025150) Digiturk - self-side activisory (D025150) Digiturk - self-side activisory (D025150) Peanson pic - strategic activisory re Interactive Data Corr (D025865) Peanson pic - strategic activisory re Interactive Data Corr (D025865) Peanson pic - strategic activisory re Interactive Data Corr (D035865) Peanson pic - strategic activisory re Interactive Data Corrad (D013535) SES Global - \$700-thn IPO - Project Gerard 2 (Gerard 2 (D013535) TH Lee BBain/Blackstone - EXCLUSNITY for Houghton Mifflin / Versalles (D025086) TH Lee/Bain/Blackstone - EXCLUSNITY for Houghton Mifflin / Versalles (D025089) The Lee/Bain/Blackstone - EXCLUSNITY for Houghton Mifflin / Versalles (D025089) This document of Brackwell STM publishing subs (D012872)	Equity-Secondary Acquisition Advisory Acquisition Advisory Divestiture Advisory Divestiture Advisory Divestiture Advisory Divestiture Advisory Acquisition Advisory Equity-IPO Equity-IPO Equity-IPO Acquisition Advisory	0% 75% 60% 00% 100% 25% 25% 0% 100% NIA 100%	4,622	4.622		15,000 5,500 2,500 2,000 2,000 1,000 3,000 8,000 8,000 1,117 1,117	
Lovell, Nicholas	Pearson pic - strategic advisory re Interactive Data Corp (D025865)	Acquisition Advisory	%0	1	•	,	4,655	
Macinnes, Bruce	Digiturik - selk-side advisory (D026150) Digiturik - selk-side advisory (D025150) Filmwest - (Focus) (F-Cout (ex-Simrisor) (D016069) Filmwest - (Focus) (Ex-Simrisor) FroSibeben - strategic divestiture advisory - Project Phoenix / Phoenix (D023612) StoryFirst - valuation of Russian assets (D025060) Texas Peofit: Group - IPO (Hunter * (D022792)	Divestiture Advisory Divestiture Advisory Farmers Opinion Pariners Opinion Valuation Equity.IPO	100% 25% 75% 25% N/A 0%	, (e).			100 3,000 250 5,000 10,000	
Paul, Gregory R.	Acme Communications - general advisory (D000036) Acme Communications - general advisory (D005356) Lin TV - bank financing (10, 03) (D030158)	Divestiture Advisory Divestiture Advisory Senior Bank Debt	75% N/A 25%	578	878		349 233	

	FRANCHISE.	FRANCHISE - REVENUE & PIPELINE BY MD & DIR 2003-02-12 (Wed) EUROS IN GOD'S CROSSI MACES.						
JANKER	DEAL Young Broadcasting - general advisory (Kron Station) (D025304)	PRODUCT Divestiture Advisory	PROB 0%	JAN FRANCHISE VALUE	YTD JAN FRANCHISE VALUE	FEB FRANCHISE VALUE	2003 FRANCHISE PIPELINE 2,783	FRANCHISE FUTURE PIPELINE
hun-Hohenstein, Christian	Deutsche Telekom - Sale options for 16% stake in SES (D011449) Finitivest. (Focus) / Focus (ex-Silmfest) (D016069) Tayfor & Francis - bot earn of Belackwell (Tilk Jubitshing subs (D012872) Wivendi Universa - mandatiny convertible brand (D026623) Vivendi Universa - Entertainment - Refinancing (D026569)	Divestlure Advisory Fairness Opinion Acquistion Advisory Equity-Secondary Bridge Loans	0% 75% 25% N/A 75%		, , , 88 ,		6,000 250 4,000 1,000	
niffo, Dyan	Business/Vire - general advisory (DOOG688) Comcast - acq fincg for AT&T Broadband (participent bank) (DO20813)	Divestiture Advisory Senior Bank Debt	25%		, .		4,189	
ung, Sun J.	Comcast - acq incg for AT&T Broadband (participant bank) (C020813) Comcast Corp - 1G offering (10 03) (D0283R3)	Senior Bank Debi	20%		, ;		1,676	•

## Probability    Balance Of	Characteristics   Characteri			L			Eranchiae Value	o Value					С
Control of the following control of the foll	Part						LIBICALIS	#5184 DI	*				as
Control Cont	Particular   Par								Balance Of		Franchise Revenue	Probability Weighted	
1972   Control Entitions   Control Entition   Contro	1972   1972	PRODUCT	DEAL	PROBABILITY	Feb	Mar	Apr	May	Year 2003	Future Years	Total	Pipelina	
Operators of State of Sta	Second Communications		Acme Communications - ceneral advisory (D006356)	75			349	,	r	•	349	282	<b>'-</b> C
Opportunity of the control o	Operate A Lands - Section and another characters & influencing for bross (DOS 55)         2,000         4,188         1,57         2,000         1,000           Description Communications - Section (1969)         25         2,000         1,000 <td></td> <td>BC Partners - acqn of BertelsmannSpringer (Chess) / Chess ** (Do24783)</td> <td>0</td> <td></td> <td></td> <td></td> <td></td> <td>6,000</td> <td>,</td> <td>5,000</td> <td>•</td> <td>٧٠</td>		BC Partners - acqn of BertelsmannSpringer (Chess) / Chess ** (Do24783)	0					6,000	,	5,000	•	٧٠
Application   Communication	Application		Boosey & Hawkes - divestiture advisory/defence & refinancing / Bronze (D015359)	20		2,000			•		2,000	000	-0
Defaults - state devices (1923179)	Communications - acid a workery (Cons. State on 1856 (Col Lists)		BusinessWire - general advisory (D005685)	52					4,189	,.•	4.189	1,047	5
1970   1970	1,000   1,00		Deutsche Telekom - Sale options for 16% stake in SES (D011449)	Đ		•			6,000	1	000'9	. (	4
Communication - general animals (Continued Communication - particular (Propiet Forbial) Forbial (1902-64)   100   1131	Unifors - section of the development of the develop		Digiturk - seli-side advisory (D025150)	58			•	•	3,000	•	3,000	750	<u>Z</u>
Financial Communications and financial (Cotabolisty) (202447) (2017) (20	Entiting Entitle County Check Control (1924-47)   2		Digiturk - sell-side advisory (D025150)	100			,		90		100	9	L-
Control of England Control of	Participation   Participatio		Emmis Communications - general advisory (Project Football) / Football (D026447)	0 1	٠;				11,311		11,311	· 0	В
Author to Carbon Carb	Comparison of Extractive Property (More Day 2009 (Control Extractive Property Prop		Fininvest - (Focus) / Focus (ex-Slimfast) (D016069)	75	220		•				067	981	S
Authority Communications - and financing for Fisher Communications - and financing for Constructions - and financing for Constructio	Parabolic transfer according to the first transfer according t		3CDecaux - acquisition advisory - Project Daedalus / Daedalus. (D022235)	25		4	•	4,500	, ti	Ē	000,4	1,125	ــل
The communications and framework (Figure States)   1,177   1	Proceedings are the page of whether the page of the pa		Pearson pic - strategic advisory re interactive Data Corp (D025865)	0 ;			·		600,4 000,0	•	600,4		K
17   17   18   18   18   18   18   18	17.1   17.1		Provide Britaing Convestione advisory - Project Princetix / Princetix (U023312)	67 6	,	•		•	000,8		000	000	Ν
Volume Broadcasting - preparal Beninciny (from Station) (DO253804)         1,587         2,000         344         45,047         2,733         2,734         7,839           Albertian Communications - and Intensity (from Station) (DO253804)         1,387         2,000         344         4,500         46,047         54,254         7,839           Albertian Communications - and Intensity (for Blaine Composition) (for Blaine Communications - and Intensity (for Blaine	Voung Broscosting - present services (2002-2014)  Voung Broscosting - present services (2002-2014)  Albrithon Communications - and financing for Fiber Commun (DOZTOSY)  Albrithon Communications - and financing for Fiber Commun (DOZTOSY)  Albrithon Communications - and financing for Fiber Commun (DOZTOSY)  Albrithon Communications - and financing for Fiber Commun (DOZTOSY)  Albrithon Communications - and financing for Fiber Commun (DOZTOSY)  Albrithon Communications - and financing for Fiber Commun (DOZTOSY)  Albrithon Communications - and fiber fiber (DOZTOSY)  Albrithon Communications - and fiber fiber (DOZTOSY)  Albrithon Communications - and fiber fiber for the communications - and fiber fi		Taylor or realists - pot address in placements of the paper in the paper (Delizers).	4 E	1117				oo'i	•	1,117	1.117	E
1,387	1,387   2,000 344 4,500 46,047   54,244 7,839   1,38		Young Broadcasting - general advisory (Kron Station) (D025304)	2					2,793	•	2,793		_
Alberton Communications - acq financing for Fisher Commun (DGZ7337)   56   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   2	Albitino Communications - acq filtrancing for Flaher Communi (DC27537)   55   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   1,450   2,281   2,281   1,450   2,281	Total M&A			1,367	2,000	349	4,500	46,047		54,264	7,839	
Albititon Communications - and financing for Fisher Communications - and financing for Fisher Communications - and financing for Gweet Lew Weet(Phase 8) / Phase 2 (1025862) 25 2.281 2.28	Albrithon Communications - sea financial for Fisher								1000		1,32,13	000	)(
Albrithan Communications - acq financial for Fisher Communications - acq financial for Several Fisher Communications - acq financial for Fisher Communications - acq financial for Several Fisher Communications - acq financial for Several Fisher Fisher Communications - acq fination (West) Phase 2 (D025662)   258   3.	Albeitron Communications - and financing for Finese Communications - and financing for Finese Communications - and financing for Carest Lew VestChase I) Finase 2 (1025662) 2.5 2.5 3.546 6.689 6.689 1.722 6.7269 1.724	Total Adviso	,		1,367	2,000	349	4,500	46,047		54,264	(,839	oc
Carrier Nation   Carrier March   Carrier Mar	Carryel-Weekin- and frog for Queekin Dev WeekinDhabes II) Phase 2 (D025662)   25   3.288   3		Alibritton Communications - acq financing for Fisher Commun (D027037)	\$0	2,281				•	,	2,281	1,140	ur
DirectTV USA - bridge financing (40 O2) (DO20076)   2.284   3.286   1.386   1.386   1.386   1.386   1.387   1.3288   1.3288   1.3288   1.3288   1.34888   1.3488   1.3488   1.3488   1.3488	Direct V USA - Unique financing (40 O2) (D020076)   2.2 84		Carlyle/Welsh - acq fncg for Qwest Dex West(Phase II) / Phase 2 (D025682)	52	•			•	6,889		688'9	1,722	n
Canive/Weish and find for Disclose (Disclose S) 25 (1,576	Carifve/Weish and find for Owest Dex West(Please II) Phase 2 (D025662) 25 1,676 1,676 5,686 1,586 1,386 Concast sed find for Owest Dex West(Please III) Phase 2 (D025693) 50 1,676 1,676 1,876 1,501 1,7501 1		DirecTV USA - bridge financing (4Q 02) (D020078)	25	-	,	3,258			-	3,258	815	ər
1,576   1,38	Secondary Offering - Project Annabelis / Nationary Offering - Project Casard / Conzensor   1,876   1	Total HYCM			2,281		3,258	-	6,889		12,428	3,6//	Η.
4 by 2000 control of c	4 - troge financial participant bank) (DOZ010) 5 - 233		Captyda A Mair and for the med Day Most Dhase (1) ( Dass 2 (DOS5862)	40	•	,		٠	5.586		5 586	1.396	20
17-501   1	4. Unide financial (1000168)  5. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2.		Carry 6/4/6/5/1 - acq incg for ATAT Depotational Applicate health (DODGE)	d fu	•	1,676			} }		1.676	838	)-
k financing (10.03) (Dozo163)         25         233         58           st financing (10.03) (Dozo163)         2724         2.733         58           biologistic processor (20.02.655)         10.00         3.724         3.724         3.724         3.724           biologistic processor (20.02.655)         10.00         3.724         10.00         3.724         3.724         3.724         3.724           versal Entertainment - Refinancing (20.2555)         10.00 <t< td=""><td>K financing (10 o.s.) (1030 (48))         2.5         2.33         5.8         2.73         5.8         2.73         5.8         5.724         3.724         4.330         1.647         4.330         1.647         4.330         1.647         4.330         1.647         4.330         1.647         1.643         1.643         1.643         1.644</td><td></td><td>Concast - add ang ior A reil Broadbarid (participal a batta) (Dozod Is) DisectOf IISA - bridge financiae (AD DD) (Dozof)\$)</td><td>8 5</td><td></td><td>17.501</td><td></td><td></td><td></td><td>•</td><td>17,501</td><td>8,751</td><td>~</td></t<>	K financing (10 o.s.) (1030 (48))         2.5         2.33         5.8         2.73         5.8         2.73         5.8         5.724         3.724         4.330         1.647         4.330         1.647         4.330         1.647         4.330         1.647         4.330         1.647         1.643         1.643         1.643         1.644		Concast - add ang ior A reil Broadbarid (participal a batta) (Dozod Is) DisectOf IISA - bridge financiae (AD DD) (Dozof)\$)	8 5		17.501				•	17,501	8,751	~
accasing - bank financing (30 Oz) (D021892)         0         2.793         2.763         3.724	and cashing - bank financing (30 O2) (D021852)     0     2.793     2.793     2.763     3.724     44.340     19.154     44.340     19.154     19.540     19.540     19.540     19.540     19.540     19.540     19.540     19.540     19.540     19.540     19.540     19.540     19.540     19.540     19.5450		(in TV) - bank financing (10 03) (D030158)	25	233		,				233	58	Ö
100 3.724   1.020	1,000   1,00		Sinclair Broadcasting a bank floancing (30.02) (D021892)	î C	} '	2,793	•		•		2,793	1	
1,000   75	1,000   1,00		TH Lee/Bain/Blackstone - EXCLUSIVITY for Houghton Miffin / Verseilles (D025086)	100	3724	: . ī	,	•		•	3,724	3,724	
1,056   22,970   3,258   1,5474   44,940   19,194   19,	3,956   22,970   5,568   72,474   44,940   19,194		Vivendi Universal Entertainment - Refinancing (D025558)	75	į ,	1,000		,	•	•	1,000	750	Т
Secondary Offering - Project Annabeli (D022480)         6 237         22,870         3,268         15,474         44,940         15,194           Seminger/Kirch - Secondary Offering - Project Annabeli (D022480)         0         2,793         1,500         2,500         1,500           1 Broadcasting - IRO (D022480)         0         2,793         1,164         1,164         1,164           1 Communications - secondary offering (30 02) (D024428)         0         75         8,000         6,000           3 clobal - E700 - Ibn IPO - Project Gerardz ( Gerardz ( Gerardz ( Gerardz ( Gorardz ( Gerardz ( Gera	Springer/Kirch Secondary Offering - Project Amabeil (D022480)       6,237       22,870       3,268       12,474       44,940       19,194         A - GBP 200 million conventible bond (D02266)       76       2,793       1,164       2,500       1,875         A - GBP 200 million conventible bond (D022066)       0       2,793       1,164       1,164       1,164         A - Communications - secondary Offering (3d, 02) (D024428)       75       0       2,793       1,164       1,164         A - Communications - secondary Offering (3d, 02) (D024428)       75       0       2,793       1,164       1,000         A - Communications - secondary Offering (3d, 02) (D024428)       75       0       2,793       1,000       0       8,000       6,000         A - Fedric Group : IPO (Hunter) / Hunter ** (D022792)       0       2,793       36,664       39,466       7,875         A - Fedric Group : IPO (Hunter) / Hunter ** (D022792)       0       2,793       36,664       39,466       7,875         A - Fedric Group : IPO (Hunter) / Hunter ** (D022792)       0       2,793       3,607       4,600       39,466       7,875         A - Fedric Group : IPO (Hunter) / Hunter ** (D022792)       0       0       2,793       36,186       1,39,466       7,875         A - Fedric	Total Leven	ge Loan Fees		3,956	22,970	•		5,586	£	32,512	15,517	HE
Springer/Kirch - Secondary Offering - Project Annabeli (D022480) 0 15,000 1,875  3. GBP 200 million conventible bond (D020285) 2,500 1,875  1. Communications - secondary offering (30 Q2) (D024428) 0 2,793 1,164	Springer/Kirch - Secondary Offering - Project Annabelle / Annabell	1			767.9	020 66	9 25 6		474.63		986 88	19 194	<del>q</del>
Secondary Offering - Project Annabeli (D022480) 0 15,000 1,875 2,500 1,875 2,500 1,875 2,500 1,875 2,793 1,164 1,1	Secondary Offering - Project Annabeli (D022480)	Foral Dept	roducis		0,437	44,870	2,450		+ 14-54				Ψ
1875   1875	Secondary (D020295)		abelle / Annabel (D02	0		٠	•		15,000		15,000		5/
9 - IPO (DO24428) 0 2,793 1,164 1,16	9 - IPO (DO24428) 0 2.793 1,164 1,16		BSkyB - GBP 200 million convertible band (DG2G296)	75		٠	,		2,500		2,500	1,875	٥l
ions - secondary offering (3Q D2) (D024428) 0 1154 1,154 6,000 6,000 75 8,000 75 10,000 10,00	ions - secondary offering (3Q D2) (D024428) 0 75 8 000 6 000 10,0		Citadel Broadcasting - IPO (D023066)	0	•	2,793					2,793	•	<del>)</del> /
10,000 10	10,000 10		Salem Communications - secondary offering (3Q 02) (D024428)	9 19	•	•	•		901.0	• •	- a	000	<b>2</b> t
2,793 . 36,664 . 39,456 7,875 . 2,793 . 36,664 . 39,456 7,875 7,604 27,783 3,607 4,500 95,185 . 138,660 34,508	2,793 . 36,664 . 39,456 7,875 . 36,664 . 39,456 7,875 . 7,875 3,607 4,500 95,185 . 138,660 34,908		SES Global - #700-106 IPO - Froject Gerafd27 Gerard2 (Do Isbas) Teves Decific Orollo (100 (Hinder) / Hinder - * (D0027392)	n c	, ,		, ,		10.00		10,000	,	טע
7,604 27,783 3,607 4,500 95,(85 . 138,660 34,908	7,604 27,783 5,607 4,500 95,185 . 138,660 34,908	Total Equity	Underwriting		ŀ	2,793			36,664		39,456	7,875	P
7,604 27,763 3,607 4,500 95,186 - 138,660 34,908	7,604 27,763 3,607 4,500 95,185 . 138,660 34,508										35 650	040	
7,604 27,763 3,607 4,500 95,185 - 138,660 34,908	7,604 27,763 3,607 4,500 95,185 . 138,660 34,908	Total Equity				2,793		•	30,00	-	33,430	6,0,7	1
		Total Corpo	rate Finance Product		7,604	27,763	3,607	4,500	98,186	•	138,660	34,908	a
	5												ge 6 of 1

Case 1	:07-cv-(	<b>•</b> 054	171-	·BSJ-ŀ	<b>(</b> N	•  F	D	ocur	ne	ent	26-28	Filed 05/30/200	• 08	Page 7 of 15
	Bi(W) 2003 Full Year Plan													
	2003 Full Year Plan (2) F													
	% Charge 2003 Full Year Forecast over 2002 Full Year Actual	-83%	858°	85% %88	%89	-89% -100%	%86.	%Z6-	.94%	-91%	%40.			
	Br(W) 2002 Full Year Actual	(19,496)	(19,486)	10,291	22,027	(30,138)	(050,15)	(2,634)	1,129	(1,506)	(30,025)			
	2002 Fuli Year Actual	23,370	23,370	18,730	32,562	30,454 908	31,362	2.856	(1,199)	1,667	88,952			
	2003 Full Year Forecast	3,875	3,875	28,021	54,589	316	312		(02)	161	58,927			

16,779

63,814

Bat Of Cur Year Probability Weighted Pipeline

Balance Of Current Year Thru 12/31 Pipeline

> Feb Franchise Revenue

% Change Year over Year

B/W PRIOR YTD Franchise Reverue

Prior YTD Franchise Revenue

YTD(Jan) Franchise Revenue 2.585

Jen Franchise Revenue 2.565 2,565

PRODUCT

M&A Other Advisory Total Advisory

(11,027)

19,960 13,775

18,642

3,677

15,470

3,956

506%

٠Ξ

316

315

Equity Underwriting
Private Placement-Equity
Structured Equity Transactions Group
Total Equity

ABL Origination
HYCM
Leverage Loan Fees
Project Finance
Leveragad Pardiol Revenue
Real Estate Inneament Banking
Tolal Debt Products

33,738 316 (3) 67%

28 12 <del>2</del>5

· 22 · 25

Capital Benefit / Treasury Allocation
Other Global Markets
Other Global Markets
Oth DCM. JV
Legacy PF. Taccom / LA Portfollo - NIM
Phincipal Investing / PF. Exceptions / Other
Total Legacy Portfollos / Gross Selling / Other

Total Corporate Finance Product

Notes:
1) Desis are included within the group on the basis of senior banker involvement par client manager for booked deals and pipeline deals
2) 2003 Plan not yet available

		•			
PRODUCT	DEAL	Franchise Revenue Jan	Franchise Revenue YTD(Jan)	Franchise Revenue Feb	Other Coverage Groups
	Acme Communications - general advisory (D006356) Allbritton Communications - General Advisory (News Ch 8) (D020686) Echostar - acon advisory and financing (GM - HE) (D014601)	578 601 1.387	578 601 1.387		None NA Lev Fin NA FIN SPO. NA Lev Fin
Total M&A		2,565	2,565		
Total Advisory		2,565	2,565	e .	
	Allbritton Communications - HY Offering (1003) (D030214) Echostar - acqn advisory and financing (GM · HE) (D014601) RH Donnelley - acq financing for Sprint Yellow Pages (D025434) Sinclair Broadcast Group - HY offering (40, 07) (D026013)	1,470 16,379 7,396 99	1,470 16,379 7,396		NA Lev Fin NA Fin SPO, NA Lev Fin NA Lev Fin None
Total HYCM		25,344	25,344	E	
Total Leverage Loan Fees	Echostar - acqn advisory and financing (GM - HE) (D014601) RH Donnelley - acq financing for Sprint Yellow Pages (D025434)	8,820 4,956 13,775	8,820 4,956 13,775		NA FIN SPO, NA LEV FIN
Total Debt Products		39,119	39,119		
Total Equity Underwriting	Gray Television - bank/equity financing (4Q 02) (D022657)	316	316 346		NA Lev Fin
	Willage mtm (M1575)	(4)	(4)		a do N
Total Private Placement-Equity	ulty	(4)	(4)	*	
Total Equity		312	312	,	
	Clear Channel - IG Bond (M5002) Comoast Corp - IG offering (1Q 03) (D026353)	85 136	85 136		None None
Stat Givi Delic JV		777	777	•	
	AOL Time Warner - Strategic Lending Contract (W4545) Infinity Broadcasting - Strategic Lending Contract (M2221)	(16) (54)	(16) (54)		None None
Total Principal investing / PE Exceptions / Other	E Exceptions / Other	(20)	(20)	•	. 1
Total Legacy Portfolios / Cross Sellin	oss Selling / Other	151	151		1 1
Total Corporate Finance Product	oduct	42,148	42,148		i 1

 •			•				•								•				•		•	Þ
 Case	1:07	<u>-cv-0</u>	<u>)547</u>	1-	<u>BSJ-k</u>	<u>(                                    </u>	<u>                                      </u>	Ooc	uη	nen	t 20	3-2	<del>8</del>	F	ilec	05	/30	/20	80	Pa	age 9 of	
4	Weighted Weighted Pipeline	262 1,047	1,309	1,309	1,140	3,677	1,396 838 8,751	. 58 . 38	11,793	15,470	•			16,779								
	Revenue Total	349 4,189 11,311	2,793 18,642	18,642	2,281 6,889 3,258	12,428	5,586 1,676 17,501	233	28,788	41,216	2,793	3,956	3,956	63,814								

31,931

25,763

Total Corporate Finance Product

15

				JAN	YTD JAN	FEB	2003	FRANCHISE	
BANKER	DEAL	TO I CO GO	90	HANCHISE	FRANCHISE	FRANCHISE	PRANCHISE	FUTURE	
the local of		100001	֓ ֓֞֝֞֝֞֝֞֝֓֓֞֝֞֝֓֓֞֝֓֞֝֓֞֝֓֡	VALCE N	A PLOE	* ALUE		FIFELINE	
Salvas Salvas		Eduly-inc	8			,	2,793	1	
	Uifec   V USA - bridge tinancing (40, 02) (0020076)	Bonds-Corporate High Yield	25%				3,258	,	
	DirecTV USA - bridge financing (4Q 02) (D020076)	Servior Bank Debt	20%		•		17.50		
	Echostar - acqn advisory and financing (GM - HE) (D014601)	Acquisition Advisory	W/W	1,387	1 387	•		•	
	Echoster - acon advisory and financing (GM - HF) (D014501)	Bonds, Compresse High Vield	Ø,N	16 370	18 370				
	Historian action additional and formalism (COM CD) (TO A ADDA S	Control of the Contro		0.00	0.00			٠	
	Editorial activation and interior [Con - DE] (DO 14001]	Senior Bank Debi	ď.	8,820	8,820			ŧ	
	Emmis Communications - general advisory (Project Football) / Football (D026447)	Acquisition Advisory	%0				11,311		
	Sinclair Broadcast Group - HY offering (4Q 02) (D026013)	Bonds-Corporate High Yield	N/A	68	86				
	Sinclair Broadcasting - bank financing (3Q 02) (D021892)	Senior Bank Debt	%0		,		2 783		
	Vivendi Universal Entertalnment - Refinancing (D026668)	Bridge Loans	75%				1 000		
Carey, Charles	Carlyle/Welsh - acq fncg for Owest Dex West(Phase II) / Phase 2 (D025662)	Sonds-Corporate High Yield	25%	,	ı		6.883		
	Carlyta/Welsh - acq fncg for Qwest Dex West(Phase II) / Phase 2 (D025662)	Servior Bank Debt	25%	•			600		
	Citadel Broadcasting - IPO (D023066)	Equity-IPO	%0				2 793	•	
	Emmis Corremunications - general advisory (Project Football) / Football (D026447)	Acquisition Advisory	8	,					
	RH Donnelley - acq financing for Sprint Yellow Pages (D025434)	Bonds-Comonate High Yield	2 2	7.398	7.308		2		
	DL Donneston, and Connected for Decision Colleges	Plant Indian State of the Control of		200	9 1	•		•	
	An Dominary - acq intending for opinit reliew Pages (D020434)	Sertior Bank Debt	ď	4,956	4,956				
	Sindair Broadcasting - bank financing (3Q Q2) (D021892)	Senior Bank Debt	%0				2,793	•	
Graves Daniel B	Althoffon Comenimications - General Advisory (Name Ch. 8) (DOOR88)	0 440 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	607	ŏ	9				
			<u> </u>	2 .	ē ;	,			
	(4) COOO) (COO) I COO (COO) (COO)		<b>(</b> )	D/4-1	0/4		,		
	Albritton Communications - acq financing for Fisher Commun (0027037)	Bonds-Corporate High Yield	20%		,	,	2,281		
	Gray Television - banklequity financing (4Q 02) (D022657)	Equity-Secondary	N/A	316	316		. •		
	Salem Communications - secondary offering (30 02) (D024428)	Equity-Secondary	%	,	,		1,164		
Paul Gredon R	Acme Communications - ceneral advisory (CORSES)	Dissetting Andrews	7597				ç		
	A COLUMN TO THE PROPERTY OF TH	Cleaning Adeland	2	٠ ;			P.S.		
	Active Control and Section 1 Section	Divestiture Advisory	¥ Z	578	578	•			
	Lift (V - bank financing (10 03) (D030158)	Senior Bank Debt	25%	•	•	•	233	•	
	Young Broadcasting - general advisory (Kron Station) (D025304)	Divestiture Advisory	%		,		2,793	•	
							i		
Triffo, Dyan	BusinessWire - general advisory (D005685)	Divestiture Advisory	25%				4,189	•	
	Comcest - acq fineg for AT&T Broadband (participant bask) (D020813)	Senior Bank Debi	20%		•	•	1,576	•	
Yung, Sun J.	Comcast - acq fncg for AT&T Broadband (participant bank) (D020813)	Senior Bank Debt	20%	,	,		1.676		
1	Comcast Corp - 1G offering (1Q 03) (D026353)	Bonds-Corp. Investment Grade	ď	136	136		) ;		

	•	•		_
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		YTD(Jan)	Prior YTD	Brw PRIOR YTD	T	Balance Of Current Year	Year Year Probability			<u>-</u> .		Future Year Pipeline
PRODUCT	Franchise	Franchise Revenue	Franchise Revenue	Franchise Revenue	Franchise Revenue	Thru 12/31 Pipelíne	Weighted Pipeline	2003 Full Year Forecast	2003 Full Year Plan	BAV 2003 Full Year Plan	Future Year Pipeline(2)	Probability Weighted(2)
M&A	(169)	(681)	375			35,622	8,530	8,361		5,361	,	
Other Advisory Total Advisory	(169)	(169)	375	1		36.622	6.530	6.364		6.364		
		ì	!			!		<u>.</u>		_		
ABL Origination	•	.1	,	•	,	,	٠	•		,	'	į
HYCM	4,622	4,622	•	,	•	•	•	4,622		4,622		٠
Leverage Loan Fees		(			,	4,724	4,474	4,474		4,474		•
Project Finance	-	1	,	•		,	٠.	•			•	
Leveraged Portfolio Revenue		1		•			•				,	
Real Estate Investment Banking Total Debt Products	4,622	4 622				4.724	4,474	960'6	ļ	9,096	, .	
			e C			000	101	7		,		
Security Discounting	7/7	7/7	0000			nne'es	0,00			· ·		•
niivata matementajiin. Stantiinad Foutty Transportlans Grain	. 1			, ,						. 1		, ,
Total Equity	272	272	3,638	-		36,500	7,875	8 147		8,147		,
Capital Benefit / Treasury Altocation	,			,		,	•	•		,		
Other Global Markets		•	•	1	,	•	,			•	•	,
GM DCM JV	•	•	•			•	•	•			,	,
Legacy PF / Telecom / LA Portfollo - NIM		(		•	•	'	,	,		•		•
Total Legacy Portfolios / Cross Selling / Other	,   -T		ļ									
Total Companie Eleance Depoting	4 776	4.725	4.013			75.845	18,878	23,604		23,604	,	,

Notes:

(1) Deats are included within the group on the basis of senior banker involvement per client manager for booked deats and pipeline deats

(2) Balance of Pipeline includes all future years

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	Other Coverage Groups	None		EUR SPON, NA FIN SPO, NA Lev Fin	1 1	GERMANY None		f	ı	ı						
	Franchise Revenue Feb	,	•				٠	٠								
	Franchise Revenue YTD(Jan)	(169)	(169)	4,622	4,622	74	272	272	4,726							
DEAL	Franchise Revenue Jan	(169)	(169)	4,622	4,622	74 198	272	272	4,728							
FRANCHISE - REVENUE BY I AS OF: 12-FEB-2003. EUROS IN 000'S. EUROPE,MEDIA	PRODUCT DEAL	StoryFirst - valuation of Russian assets (D025060)	Total Advisory	TH Lee/Bain/Blackstone - EXCLUSIVITY for Houghton Mifflin / Versailles (D025086)	Total Debt Products	SES Global - €700-1bn IPO - Project Gerard2 / Gerard2 (D013535) Vivendi Universal - mandatory convertible bond (D026623)	Total Equity Underwriting	Total Equity	Total Corporate Finance Product							

	Car	● <del>se 1:</del> (	) <b>7</b> -	CV.	<b>.</b> Ω!	<b>•</b> 54	7	L_F	Şς	. J-	K1	VF	<b>)</b>	_D	<del>9</del> 6	нn	n-e	nt:	) -21	<del>} -</del>	28	<del></del>		riled 05/30/2008	Page 13 of 15
		_	Pipeline	. 8				1,125		1,250	١	1	6,530	3,724	11	4 474	1		000'9	- 1		7,875	18,878	•	1 ago 10 01 10
		Franchise Revenue	Total	5,000	6,000	3,000	00 5	4,500	4,855	6,000 000.00	1.117	35,622	35,622	3,724	4,724	4 7 2 4	1.	15,000	8,000	10,000	35,500	35,500	75,845		
			Future Years				•		,		-	•		ı				•	, ,	,	•	,	,		
		Balance Of	Year 2003	5,000	6,000	3,000	100		4,655	5,000 6,000	200	27,755	27.756					15,000	8,000	10,000	35,500	35,500	63,256		
	e Value		May	,		•		4,500				4,500	4,500										4,500		
	Franchise Value		Apr				,					•	,	•			•	,		•					
DEAL			Mar	, 60	, , , , , , , , , , , , , , , , , , ,		•		•			2,000	2,000	. 60	1,000	1 000	2004	•					3,000		
PELINE BY D 2. FEB-2003 S IN 000 S PENEDIA			Feb	1	• •	,	. 250	,		• •	1,117	1,367	1,367	3,724	3,724	9 72A	41,4	ı		,			5,091		
FRANCHISE F AS OF 1 EURO	L	l	PROBABILITY	0 (	3 0	25	100	72.	o (	S 53	100			160	2			0 4	75	0					
			PRODUCT DEAL	BC Partners - acgn of BertelsmannSpringer (Chess / Chess ** (D024783)	Deutsche Telekom - Sale options for 16% stake in SES (D011449)	Digiturk - sell-side advisory (D025150)	Digiturk - sell-side advisory (D025150) Fintnyest "/Frous / Frous / Av. Simfast) (D016080)	JCDecaux - acquisition advisory - Project Daedalus / Daedalus, (0022235)	Pearson plc - strategic advisory re Interactive Data Corp (0025865)	Provieben - strategic divestiture advisory - Project Phoenix / Phoenix (1902/3912) Taylor & Francis - pot acon of Błackwell STM publishing subs (001/2872)	TH Lee/Bain/Blackstone - EXCLUSIVITY for Houghton Mifflin / Versalites (D025085)	10tal MacA	Total Advisory	TH Lee/Bain/Blackstone - EXCLUSIVITY for Houghton Niffilin / Versailles (D025086) Misonal Universal Extended Definancian (D07508)	Total Leverage Loan Fees	Total Dett Products		Axel Springer/Kirch - Secondary Offering - Project Annabelle / Annabel (0022480)	SSNy3 - SDF 200 filling Converging Edita (DO2022au) SES Global - €700-10n IPO - Project Gerard2 / Gerard2 (D013535)	Texas Pacific Group - IPO (Hunter) / Hunter " (D022792)	1 otal Equity underwriting	Total Equity	Total Corporate Finance Product		

	FRANCHISE - REVENI 2003- EUR	FRANCHISE - REVENULE & PIPELINE BY MD & DIR 2003-02-12 (Wed) EUROS IN 000'S Europe Media						
BANKER Ausin, Geoffrey	DEAL BC Partners - acqn of BerteismannSpringer (Chass) / Chess ** (D024/73) TH Lee/BarinGhackstone - EXCLUSIVITY for Houghton Mifflin / Versalike (D025086) TH Lee/BarinBackstone - EXCLUSIVITY for Houghton Mifflin / Versalikes (D025096) TH Lee/BarinBackstone - EXCLUSIVITY for Houghton Mifflin / Versalikes (D025096) Taylor & Francis - pot acqn of Blackwell STM publishing subs (D0128/72)	PRODUCT Acquisition Advisory Acquisition Advisory Bonds-Componer High Yield Softor Bank Debt Acquisition Advisory	PROB U% 100% N/A 100% 25%	JAN FRANCHISE VALUE 4,622	YTD JAN FRANCHISE VALUE	FEB FRANCHISE VALUE .	2003 FRANCHISE PIPELINE 6,000 1,117 3,724 4,000	FRANCHISE FUTURE PIPELINE
Lovegrove, Stephen	Axel Springer/Kirch - Secondary Offering - Project Annabelic / Annabel (D022480) BC/Partners - axqn of BertelsmannSpringer (Cheess) / Chess - (0024783) BS/96 - GBP - 200 millian conventible bond (D020286) BS/96 - GBP - 200 millian conventible bond (D020286) Bossey & Hewkes - divestiture activosy/deferce & refinancing / Bronze (D015389) Bossey & Hewkes - divestiture activosy/deferce & refinancing / Bronze (D015389) Bossey & Hewkes - divestiture activosy/deferce & refinancing / Bronze (D015389) Bossey & Hellom - S8le options for 16% stake in SES (D011448) UCDecativ - seq-listion advisory (D025150) UCDecativ - seq-listion advisory - Project Decativs / Dacadatus (D022236) Pearson plc - strategic advisory re interactive Data Cony (D025865) Pearson plc - strategic advisory re interactive Data Cony (D025865) Pearson plc - strategic divestiture advisory - Project Decatiz / Derard (2013535) SES Global - (570-1bn 190 - Project Geard 2/ Gerard 2/ (0013535) SES Global - (570-1bn 190 - Project Geard 2/ Gerard 2/ (0013535) TH LeefBardBlackstone - EXCLUSIVITY for Houghton Millin / Versallies (D025086) TH LeefBardBlackstone - EXCLUSIVITY for Houghton Millin / Versallies (D025086) TH LeefBardBlackstone - EXCLUSIVITY for Houghton Millin / Versallies (D025086) The sedBardBlackstone - EXCLUSIVITY for Houghton Millin / Versallies (D025086) Taylor & Francis - pot acon of Blackwell STM publishing subs (D012872)	Equity-Secondary Acquisition Advisory Acquisition Advisory Divestiture Advisory Divestiture Advisory Divestiture Advisory Divestiture Advisory Divestiture Advisory Acquisition Advisory Acquisition Advisory Gquity-IPO Acquisition Advisory Bonda-Corporate High Yield Sentor Bank Debt Acquisition Advisory Bonda-Corporate High Yield Acquisition Advisory	0% 0% 75% 50% 0% 160% 25% 25% 25% 75% NA 100% 100% 25%	4,622			15,000 2,500 2,600 6,000 100 3,000 4,500 8,000 8,000 1,117 1,117 4,000 4,000	
Lovell, Nicholas	Pearson pic - strategic advisory re Interactive Data Corp (D025866)	Acquisition Advisory	%0				4,655	
Macinnes, Bruce	Digiturk - seit-sida advisory (D025150) Digiturk - seit-sida advisory (D025150) Digiturk - seit-sida advisory (D025150) Fininvest - (Focus) / Focus (ex-Striffsts) (D016069) ProSisben - strategic divestiture advisory - Project Phoenix / Phoenix (D023912) StoryFirst - valuation of Hussian assets (D022060) Texas Pacific Group - IPO (Hunler) / Hunler * (D022792)	Divestiture Advisory Divestiture Advisory Farness Opinton Fedenes Advisory Vaustorn Equity-IPO	100% 25% 75% 25% NIA NIA	(69)	(169)		100 3,000 250 5,000 10,000	
Thun-Hohenstein, Christian	Deutsche Telekom - Sale options for 16% stake in SES (D011449) Finitivest, - (Focus) / Focus (ex-Sinitass), (D016089) Taylor & Francts - pot acqn of Blackwell STM publishing subs (D012872) Vivendi Universal - mandatory convenible bond (D028622)	Divesture Advisory Farness Opition Acquisition Advisory Equity-Secondary	0% 75% 25% N/A	198		• • • •	8,000 250 4,000	